

# Thyroid-Induced Toxicity of Check-Point Inhibitors Immunotherapy in the Treatment of Advanced Non-Small Cell Lung Cancer

Emanuela Rossi<sup>1</sup>, Assunta Sgambato<sup>3</sup>, Giovanni De Chiara<sup>2</sup>, Fortunato Ciardiello<sup>1</sup>, and Cesare Gridelli<sup>1\*</sup>

<sup>1</sup>Division of Medical Oncology, S.G. Moscati Hospital, Avellino, Italy

<sup>2</sup>Division of Pathologic Anatomy, S.G. Moscati Hospital, Avellino, Italy

<sup>3</sup>Department of Clinical and Experimental Medicine, Second University of Naples, Naples, Italy

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\*Corresponding author: Cesare Gridelli, Division of Medical Oncology, S.G. Moscati Hospital, Avellino, Italy, Tel: +39-0825-203-573; Fax: +39-0825-203-556; E-mail: cgridelli@libero.it

## Abstract

Immunotherapy represents a new strategy in the fight against cancer diseases based on the key role that immune system plays to identify and destroy foreign or abnormal cells in the body, including tumor cells. Nowadays, two immune-checkpoint inhibitors with antitumor activity have been approved for treatment of advanced non-small cell lung cancer (NSCLC): Nivolumab and Pembrolizumab (anti-programmed death 1, anti-PD-1). However, these inhibitors are related to a unique class of mechanism-based toxicities, termed immune-related Adverse Events (irAEs) that have an autoimmune etiology. Among endocrinopathies due to immuno-checkpoint antibodies, the thyreopathies are frequently reported, probably because anti-thyroid autoimmunity represents the most common autoimmune disease in humans. The spectrum of thyreopathies reported in NSCLC patients during therapy with immune-checkpoint inhibitors includes: thyroiditis, primary and secondary hypothyroidism and hyperthyroidism. In view of the ongoing increased employment of these drugs in the treatment of NSCLC, irAEs, described in selected patients in clinical trials, will began more frequent and with different clinical impact in routine practice. This manuscript focuses particularly on thyroid disorders induced by immunotherapies employed in NSCLC, in order to suggest the strategies for identify, diagnose and treat them without affecting patient outcomes.

**Keywords:** Cytotoxic T-lymphocyte-associated antigen-4; Hyperthyroidism; Hypothyroidism; Immune-checkpoint inhibitors; Immune-related adverse events; Non-small-cell lung cancer; Programmed cell death protein-1; Thyroiditis

## Introduction

Different strategies have been developed over time to improve the poor survival of patients affected by advanced Non-Small Cell Lung Cancer (NSCLC): radiotherapy, chemotherapy and molecular targeted therapies. Recently, in view of the hypothesis that the immunosuppression could be involved in the mechanism of carcinogenesis, by allowing tumor cells to evade immune-surveillance, new immunological drugs have been synthesized [1,2]. In particular, discovery of immune checkpoints, receptors expressed on T cells that regulate immune response,

has led to development of monoclonal antibodies against these molecules that alter T cell functions and determine antitumor activity [3]. Antibodies blocking Cytotoxic T-Lymphocyte-Associated Antigen 4 (CTLA-4), and blocking the Programmed Death 1 (PD-1) receptor and his ligands (PD-L1 and PD-L2) have recently shown impressive response rates in the management of some solid tumors, particularly in melanoma, NSCLC, renal cell carcinoma, bladder cancer and ovarian cancer [3,4]. These promising drugs were first approved for metastatic melanoma and recently are available for lung cancer. Immune checkpoints inhibitor nivolumab (BMS-936558) has been approved by Food and Drug Administration (FDA) and European Medicines Agency (EMA) for the treatment of advanced pretreated NSCLC patients, while pembrolizumab (MK-3475, anti-PD-1) by FDA always in the second-line setting but only for patients with tumor strongly expressing (> 50%) PD-L1. Other immune checkpoint inhibitors tested in NSCLC patients are atezolizumab (MPDL3280A) durvalumab (MEDI4736), and BMS-936559 among anti-PD-L1 and ipilimumab and tremelimumab among anti-CTLA-4 antibodies. However, different immune checkpoint inhibitors and their combinations have been tested in ongoing clinical trials for lung cancer patients [5].

Although these immunological checkpoint-blocking antibodies are revolutionizing cancer therapeutics, a unique class of mechanism-based toxicities, termed immune-related Adverse Events (irAEs), has emerged [6]. These toxicities have an autoimmune aetiology and are very different from toxicities observed with conventional cytotoxic chemotherapy. Unlike cytotoxic agents, whose side effects are mainly expression of the block of rapidly dividing cells, immune-checkpoint inhibitors, by altering immune-surveillance, have often side effects associated with autoimmune diseases. These irAEs include: dermatological and gastrointestinal events, hepatitis, pneumonitis, uveitis, pancreatitis, and endocrinopathies [6]. Among endocrinopathies due to immuno-checkpoint antibodies, the thyreopathies are frequently reported [7], probably because anti-thyroid

autoimmunity is the most common autoimmune disease in humans. Furthermore, in view of the ongoing increased employment of these drugs in the treatment of NSCLC, the incidence of their toxicities reported in clinical trials will turn into more frequent clinical cases in routine practice. This manuscript focuses particularly on thyroid disorders induced by immunotherapy in NSCLC, in order to suggest the strategies for identify, diagnose and treat them without affecting patient outcomes.

### **Pathogenesis of Thyroid Autoimmunity**

In the spectrum of autoimmune diseases, thyroid autoimmunity is one of the few conditions for which the autoantigens have been unequivocally identified and are known to play a role in disease pathogenesis. Most importantly, the Thyroid-Stimulating Hormone Receptor (TSHR), Thyroid Peroxidase (TPO), and Thyroglobulin (Tg) carry within themselves unusual and sometimes unique characteristics, as size, abundance, membrane association, glycosylation, and polymorphisms, that play critical roles in the breakdown of self-tolerance leading to thyroid autoimmunity [8].

Tg is the largest and most abundant thyroid autoantigen in the thyroid: is a soluble molecule that undergoes iodination, needed for the function of Tg as a prohormone of Tetraiodothyronine (T4) and Triiodothyronine (T3), but not required for recognition by human autoantibodies [9].

TPO is the primary enzyme involved in thyroid hormone synthesis: is a membrane-bound homodimer of two subunits with a heme prosthetic group, essential for enzymatic activity but not involved in TPO autoantibody recognition [10].

The TSHR is a member of the rhodopsin-like family of G protein-coupled receptors. Accumulating observations for human TSHR autoantibodies indicate that the TSHR A-subunit, rather than the membrane-bound receptor, is the main autoantigen. Thyroid-Stimulating Antibodies (TSABs) that activate the TSHR are the direct cause of Graves' hyperthyroidism [11]. Autoimmunity to TPO and/or Tg is associated with lymphocytic infiltration of the thyroid and sometimes with hypothyroidism [12]. In clinical practice, many patients, particularly women, have autoantibodies to TPO and/or Tg but do not progress to hypothyroidism [13]. Autopsy studies have demonstrated the presence of thyroid lymphocytic infiltration associated with the presence in serum of thyroid autoantibodies in the absence of manifest clinical disease [14]. The important implication of this association is that TPO and Tg autoantibodies in euthyroid individuals likely reflect a subclinical disease [15]. Consequently, the term "Hashimoto's Thyroiditis" (HT) refers to individuals with thyroid autoantibodies regardless of their thyroid function status. In this disease, autoimmunity to Tg and/or TPO rises gradually over many years and thyroid reserve is maintained for the tropic effects of TSH, until it is ultimately overcome by massive lymphocytic infiltration, fibrosis, and thyroid follicle damage, causing overt hypothyroidism. However, the destruction of at least 90% of the thyroid tissue is required for causing overt hypothyroidism. In contrast, TSABs, which are potent at low concentrations [16], induce

hyperthyroidism at an early stage in the autoimmune response.

Graves' disease and HT taken together have a high prevalence, making autoimmunity to the thyroid gland the most common autoimmune disease affecting humans. These diseases arise in genetically susceptible individuals, for the loss of tolerance to thyroid antigens in association with environmental factors, including iodine uptake, radiation, smoking, selenium, drugs, environmental toxins, and micro-organisms [8]. Furthermore, there is evidence that infection with hepatitis C virus plays a primary role in inducing autoimmune thyroiditis [17].

The recent advent of immunotherapy among the therapeutic options in the treatment of advanced NSCLC, causing the loss of immune tolerance to thyroid antigens, is an immune intervention that frequently leads to the development of thyroid autoimmunity.

### **Immunotherapy of NSCLC**

The immune system plays a critical role in identifying and destroying foreign or abnormal cells in the body, including suppressing tumor growth [18]. Immune-surveillance involves both innate and adaptive immune mechanisms, including Natural Killer (NK) cells, CD8+ cytotoxic T-lymphocytes, CD4+ T-helper cells, and macrophages.

Antitumor immune-response is a multi-step process starting from naive T-cells which circulate in blood through lymph nodes and recognize foreign ("nonself") antigens presented by specific Antigen-Presenting Cells (APC), typically dendritic cells, through the major histocompatibility complex class I. This step alone is inadequate for successful T-cell activation and immune destruction of cancer cells because co-regulatory signals, either inhibitory or stimulatory, are also required [3,19]. This intricate counterbalance of co-stimulatory and co-inhibitory cell-to-cell signals between various components of the immune system regulates immune responses, whether against tumor cells or infected cells, and prevents damage against healthy tissues.

CTLA-4 and PD-1 pathways are two examples of immune-checkpoint pathways involved in controlling T-cell immune responses. PD-1 and CTLA-4 receptors are similar in structure, both expressed on T cells (PD-1 can be expressed also on B cells or NK cells), but they bind distinct ligands.

#### **CTLA-4 and anti-CTLA-4 antibodies**

CTLA-4 is expressed on surface of T-cells and regulates the amplitude of T-cell activation, reduces T helper cell function and stimulates regulatory T (Tregs) cell immunosuppressive activity [1]. This is a transmembrane glycoprotein with considerable homology to CD28 (a stimulatory checkpoint) which binds to same ligands B7-1 (CD80) and B7-2 (CD86) on the surface of APCs and leads to T-cell proliferation. Once a cytotoxic T cell becomes active, it expresses CTLA-4 on its cell surface, which then competes with the co-stimulatory molecule CD28 for their mutually shared ligands on the APC. However, unlike CD28, CTLA-4 inhibits T-cell responses and is important for maintenance of immune tolerance.

Notably, CTLA-4 was the first non-HLA (Human Leukocyte

Antigen system) association identified for Graves' disease [20] and is one of 10 confirmed Graves' disease loci of immune-susceptibility [21,22]. Indeed, CTLA-4 gene polymorphisms have been associated with autoimmune thyroid diseases including Graves' disease and Hashimoto's thyroiditis [23,24]. It has been hypothesized that TSHR antigen is presented to the T-Cell Receptor (TCR) by the APC cells, leading to the development of potentially autoreactive T cells. The interaction of B7 (on the APC) and CTLA-4 leads to a decrease of the T lymphocyte, largely by a decrease of the TCR; the block of this interaction, caused by anti-CTLA-4 antibodies, prevents the down-regulation of T cells and could lead to the autoimmune process that causes Grave's disease [20].

Ipilimumab, a fully humanized Ig G1 monoclonal antibody anti-CTLA-4, binds to CTLA-4 and blocks its interaction with B7 molecules, preventing T cell inactivation. Ipilimumab, already approved for metastatic melanoma, has shown promise in one phase II trial in 204 NSCLC chemo-naïve patients. The irAEs described in this study were milder than those seen when ipilimumab was used as single agent for treatment of melanoma, probably due to the steroid administration concomitant to chemotherapy in NSCLC. In the spectrum of irAEs, one case each of G3 hypophysitis and G3 hypopituitarism with central hypothyroidism was observed in concurrent ipilimumab arm, causing treatment discontinuation [25]. Generally, these toxicities linked to CTLA-4 inhibitors tend to occur on first 12 weeks of therapy, but, in rare cases, can also occur in the weeks and even months following discontinuation of therapy [26].

### **PD-1 and anti PD-1/ anti PD-L1**

PD-1 (CD279) receptor is an inhibitory checkpoint expressed on the surface of activated T cells, B cells, NK cells, dendritic cells, macrophages, and host tissues that plays an important role in the balance of tumor immunity and inflammatory reactions [3]. PD-1 binds to one of its ligands, typically PD-L1 (or B7-H1 or CD274) or PD-L2 (or B7-DC or CD273) expressed in tumor cells and APC, leading to T-cell inactivation. Unlike CTLA-4, PD-1 acts within peripheral tissues, inhibiting T-cell signaling, cytotoxic activity, proliferation, survival and effector function of T cells and also promoting differentiation of CD4+ T cells into T regulatory cells and inducing T cell apoptosis. So, CTLA-4 regulates T-cell activity at an early stage, limiting initiation of a T-cell response to self-antigens in the lymph nodes and preventing autoimmunity, whereas PD-1 predominantly regulates later effector T-cell activity within tumor microenvironment [27].

Interruption of PD-1: PD-L1 and PD-1: PD-L2 binding by anti-PD-1 antibodies or interruption of PD-1:PD-L1 binding by anti-PD-L1 antibodies restores T-cell immune responses.

Currently, there are two PD-1 inhibitors available for lung cancer: nivolumab for treatment of patients with advanced NSCLC in progression during or after platinum-based chemotherapy (approved by FDA and EMA), pembrolizumab by FDA for treatment of patients whose NSCLC strongly expresses PD-L1 (> 50%) and whose disease worsened during or after platinum-based chemotherapy.

Nivolumab (BMS-936558) is a fully human IgG4 antibody blocking the PD-1 receptor. In a phase Ib trial enrolling patients affected by different cancers, including 75 NSCLC patients, nivolumab was administered biweekly at escalating doses ranging from 0.3 to 10 mg/ kg. IrAEs of any-grade were observed in 39% of the patients including any-grade hypothyroidism in 3% of total population (1% at dose of 3 mg/ kg and 5% at 10 mg/ kg) [28]. In 129 heavily pretreated advanced NSCLC, nivolumab showed an overall objective response rate (ORR) of 17% across all doses (17% and 18% in squamous and non-squamous histologies, respectively) in another phase I trial. Endocrinopathies were reported in 6.2% of patients [29]. Two phase III trials compared nivolumab with docetaxel in 272 pretreated squamous (CheckMate 017) [30] and 582 non-squamous (CheckMate 057) [31] NSCLC patients reporting a significant better OS. In CheckMate 017 trial, hypothyroidism was reported in 4% of patients (no cases of grade 3-4 hypothyroidism) [30]. In CheckMate 057 trial, grade 1-2 hypothyroidism, including thyroiditis, occurred in 7% (20/287) of patients treated with nivolumab and 0% (0/268) in docetaxel arm, while elevated TSH occurred in 17% and 5% of patients, respectively. The median time to onset of hypothyroidism/ thyroiditis was 2.9 months. All 20 patients received levothyroxine: two patients received corticosteroids (one of whom received high-dose corticosteroids). Complete resolution of hypothyroidism occurred in one patient. There was a temporarily withheld due to hypothyroidism/ thyroiditis in three patients (no cases of discontinuation). Grade 1-2 hyperthyroidism occurred in 1.4% (4/287) of patients. The median time to onset was 2 months. Two of four patients received methimazole and one patient also received treatment with high-dose corticosteroids, with complete resolution [31]. The frequencies of treatment-related AEs, serious adverse events, and adverse events leading to discontinuation of the study drug were similar regardless PD-L1 expression level (1% or higher and less than 1%) [31].

CheckMate 012 is an ongoing multi-arm phase 1b trial evaluating nivolumab alone and in various combinations, such as platinum-based chemotherapy, erlotinib, bevacizumab, and ipilimumab in patients with chemotherapy-naïve advanced NSCLC (NCT01454102).

Nivolumab combined with ipilimumab mirrored the dose and schedules used in metastatic melanoma. All grade hypothyroidism was reported in 14% of patients in combined arm compared to 9% in ipilimumab as single-agent in advanced melanoma (no grade 3-4 hypothyroidism reported). In NSCLC patients, response rates with these combinations were 13% and 20%, respectively. However, the toxicity was more than that seen with single-agent nivolumab and more than we have observed with the combination in melanoma. Therefore several new dosing schedules for this combination are under evaluation in chemo-naïve 148 patients. All of the dosing regimens showed clinical activity, reporting a confirmed ORR of 13% to 39% and an "encouraging" median PFS of 4.9 to 10.6 months. Tumors expressing PD-L1 had better responses than those whose tumors did not express PD-L1. A lower frequency of treatment-related grade 3/4 AEs leading to discontinuation (3-10%) was reported.

Endocrine toxicity was observed in 3-8% of patients [32].

Pembrolizumab (MK-3475) is a humanized IgG4 monoclonal antibody against PD-1 that demonstrated a robust antitumor activity in both treatment naïve and pretreated advanced NSCLC patients [33,34]. Pembrolizumab was tested in a large, multicohort, phase 1b KEYNOTE-001 study enrolling 495 naïve and pretreated NSCLC patients, treated with various doses and regimens of the anti-PD-1 inhibitor. Pembrolizumab showed an acceptable side-effect profile and produced durable responses, regardless dosed used. Hypothyroidism was observed in 6.9% of patients (one case of severe G3-G5 hypothyroidism). The median time to onset was 4.2 months, and the median duration was 5.8 months. No patients discontinued pembrolizumab due to hypothyroidism and all cases were successfully treated with medical therapy. Among all the patients, ORR was 19.4%, with median PFS of 3.7 months, and median OS of 12.0 months. A PD-L1 tumour proportion score of 50% or greater was associated with better outcomes [35]. In KEYNOTE-010 trial pembrolizumab - 2 mg/ kg or 10 mg/ kg every 3 weeks - prolongs OS in 1034 PD-L1-positive NSCLC that progressed after at least platinum-based chemotherapy and has a favorable benefit-to-risk profile. Among irAEs, the most common thyreopathies were: hypothyroidism (8% at both doses), hyperthyroidism (4% at dose of 2 mg/ kg and 6% at 10 mg/ kg, with one case of severe G3-G5 hyperthyroidism), and thyroiditis (1% at 2 mg/ kg dose) [36].

Atezolizumab (MPDL3280A) is an anti-PD-L1 monoclonal antibody, Immunoglobulin G (IgG) type with Fc domain engineered modification to avoid antibody-dependent cell mediated cytotoxicity (ADCC). In a phase I study, MPDL3280A, at dose of 1200 mg IV every 3 weeks, led to antitumor responses, with an ORR of 23% in pretreated patients with NSCLC [37]. Phase II POPLAR study showed that atezolizumab significantly improved OS compared to docetaxel as second line treatment (median OS: 12.6 versus 9.7 months in the overall patient population; HR: 0.73;  $P = 0.040$ ). An increased efficacy of atezolizumab was observed with increasing PD-L1 expression on tumor cells and/or tumor-infiltrating immune cells. Among AEs, hypothyroidism was reported in 5% of patients [38].

Durvalumab (MEDI 4736) is a human IgG1 mAb against PD-L1, with an engineered Fc domain to prevent ADCC. A phase I/II trial evaluated its safety and clinical activity in NSCLC reporting durable responses and higher ORR in squamous NSCLC (21% versus 10% in non-squamous) and PD-L1 positive (23%) patients. Hyperthyroidism was reported in 4% of patients, and in one case of these it was of grade 3-4 [39].

## Diagnosis and Management of Thyreopathies caused by Immune Checkpoints

The spectrum of thyreopathies caused by immune checkpoint inhibitors includes: thyroiditis, primary and secondary hypothyroidism and hyperthyroidism. The incidence of hyperthyroidism has been lower than thyroiditis and hypothyroidism for both CTLA-4 and PD-1/PD-L1 inhibition [40]. The clinical presentation of thyreopathies by immune checkpoints is sometimes unusual in patients with NSCLC, often

old and frail patients with complications from prior therapies. It follows that the diagnosis could be made more difficult by their insidious clinical appearance, with symptoms that can be wrongly confused with a progression of disease. Furthermore, because of this insidious and nonspecific clinical picture, the incidence of thyreopathies due to immuno-checkpoints reported in clinical trials may have been underestimated. The time of onset of thyreopathies due to immune checkpoint inhibitors ranged from 0, 7 weeks to 19 months from initiation of therapy. Although the dosage of thyroid auto-antibodies was not systematically carried out in all the trials that have used inhibitors of the immune checkpoint in patients with NSCLC, the thyreopathies seen in these trials were likely expression of the development of thyroid autoimmunity [40].

### Thyroiditis

Autoimmune thyroiditis, also called HT, is the most common form of thyroiditis described in patients with NSCLC during therapy with inhibitors of immune checkpoints [4,28]. Often patients are asymptomatic and the clinical course of chronic thyroiditis is usually characterized by a slow progression to overt hypothyroidism. In a rare form of thyroiditis, known as silent thyroiditis or painless thyroiditis, there is the appearance of thyrotoxicosis, linked to the release of thyroid hormones by the destroyed thyroid. Thyrotoxicosis is generally transient and is characterized by symptoms caused by toxic action of iodothyronine at the tissue level: sweating, tremor, palpitations, dyspnea, diarrhea etc. The phase of thyrotoxicosis is characterized by the rise of Free Triiodothyronine (FT3), Free Tetraiodothyronine (FT4) and Tg, released from damaged follicles, with TSH suppression. Scintigraphy, therefore, reveals a reduced uptake by the thyroid both for the damage of thyrocytes, and for the failure of stimulation by TSH [41]. In a recent study, ten cases of thyroiditis were described in patients treated with anti-PD- 1 antibodies for metastatic malignancies. Six patients presented with transient thyrotoxicosis that required temporary beta-blocker therapy and had spontaneous resolution with subsequent hypothyroidism. In 4 of them (67%) anti-TPO and anti-Thyroglobulin (anti-Tg) antibodies were documented. Four patients presented with hypothyroidism, without a previously documented thyrotoxic phase, occurring 6-8 weeks after initial drug exposure. All of these patients had positive antithyroid antibodies and required thyroid hormone replacement therapy for a minimum of 6 months [42]. It has been hypothesized that, as thyroid autoimmunity stimulated during treatment with anti-CTLA-4 antibodies could be linked to polymorphic variations of the CTLA-4 receptor gene [18], so a similar condition of polymorphic variants of the PD-1 receptor gene, may account for the occurrence of thyroiditis in a subgroup of patients treated with anti-PD-1 antibodies [42].

High anti-TPO Abs and anti-Tg Abs were also reported after two cycles of ipilimumab therapy in patients treated for advanced melanoma [43].

The diagnosis of thyroiditis is based on laboratory data indicating the presence of high levels of antithyroid antibodies,

more frequently anti-TPO, associated with thyroid function that can be extremely variable according to the stage of the disease: normal thyroid function, transient hyperthyroidism, subclinical hypothyroidism, clinical hypothyroidism. Ultrasound examination may be helpful in highlighting an inflammatory context, hypoechoic and inhomogeneous.

During therapy with anti-immun checkpoints antibodies, routine measurements of thyroid autoantibodies, will better clarify whether thyroiditis related to these drugs, have an autoimmune pathogenesis, so closely resembling HT.

In many cases, no treatment is required because the patient is often asymptomatic, with TSH levels in the standard range. Substitution treatment with Levotiroxine (L-T4) is required in case of overt hypothyroidism and in case of subclinical hypothyroidism with TSH > 10 mUI/L (see below). Although this is an autoimmune disease, steroid treatment is not recommended because of side effects and because it is not associated with a real therapeutic advantage [41]. During thyrotoxicosis phase, orally beta-blockers may be used, while thyrostatic substances are absolutely useless as thyrotoxicosis is not supported by an increased thyroid hormone production but by the release of preformed thyroid hormones contained in the follicles destroyed by the inflammatory process. However, with appropriate therapy for thyroid dysfunction, the patients that have thyroiditis as adverse immune events linked to immune checkpoint inhibitors, can safely continue anticancer therapy.

### **Hypothyroidism**

Hypothyroidism, characterized by insufficient production of thyroid hormones, has been frequently reported in NSCLC patients among irAEs due to immune-checkpoints antibodies. Generally, it occurs after 2-4 infusions of anti-CTLA-4, while the timing of onset with PD-1/PDL1 has not been well established [6]. Improved cancer survival and clinical response to treatment with immunotherapies mainly used in the past, as interleukin-2, has been noted in patients with different cancers. The presence of hypothyroidism, in these instances, is a marker of remission, improved treatment response, and decreased tumor growth [44,45]. Primary hypothyroidism is supported by a thyroid disease, mostly an autoimmune thyroiditis that causes a reduced secretion of thyroid hormones with consequent compensatory increase in TSH. From a clinical-laboratory point of view it can be distinguished a subclinical hypothyroidism, characterized by high serum concentration of TSH in the presence of normal levels of FT3 and FT4 with soft or no signs and symptoms of hypothyroidism and an overt hypothyroidism, characterized by high serum concentration of TSH in the presence of low levels of FT3 and FT4 with clear signs and symptoms of hypothyroidism [41]. The symptoms of hypothyroidism vary, depending on the severity of hormone deficiency; they include: fatigue, constipation, lethargy, bradycardia, loss of appetite, weight gain, eyelid edema, puffy face, dry skin, depression. Furthermore, among hematologic abnormalities, a macrocytic anemia and an increased risk of bleeding for a state of hypocoagulability have been reported in the forms of autoimmune hypothyroidism [41]. Because these

symptoms can easily be attributed to the initial malignancy, to chemotherapy or radiation therapy, or to cancer recurrence, in NSCLC patients treated with immune-checkpoints inhibitors it is easy to miss the diagnosis of hypothyroidism. A form of severe primary hypothyroidism associated with rhabdomyolysis, with TSH = 187 mUI/L and very low FT4, has been described as irAEs of pembrolizumab, a fully human monoclonal antibody against PD1 [46]. This patient, affected by mucosal melanoma, was also treated previously with ipilimumab and remained euthyroid for 43 weeks after completing ipilimumab. Then, his severe hypothyroidism could be due to pembrolizumab therapy or a combined effect of ipilimumab followed by pembrolizumab. Furthermore, it is likely that the rhabdomyolysis is a consequence of hypothyroidism and is directly associated with the severe and acute onset of hypothyroidism [46].

Secondary hypothyroidism is supported by a reduced secretion of TSH from anterior pituitary with consequent reduced TSH stimulation of thyroid hormone production. The differential diagnosis between the two forms of hypothyroidism is made possible because in the secondary form TSH is low instead of having the compensatory increase observed in the primitive form. Secondary hypothyroidism has been described as consequence of the immune-related hypophysitis, reported in NSCLC patients both in course of nivolumab and ipilimumab, although at lower incidence and of milder grade than that observed in melanoma studies.

A probable mechanism to explain the development of secondary hypothyroidism due to immune-related hypophysitis has been reported in a study testing repeated injections of a CTLA-4 blocking antibody in mice. The study reports that CTLA-4 is expressed in pituitary endocrine cells and predominantly in TSH-secreting cells. The blocking of CTLA-4 by administration of a specific monoclonal antibody leads to pituitary infiltration and antibody formation. The study also confirmed that patients receiving ipilimumab developed pituitary antibodies [47].

The incidence of secondary hypothyroidism due to hypophysitis ranges from 1-6% with single agent anti-PD-1/PD-L1 mAb therapy and 2-10% in selected combination studies [7,48]. In NSCLC patients treated with ipilimumab, the lesser severity of hypophysitis clinical course may be due to the use of corticosteroids concomitant to chemotherapy in these patients [25]. The clinical picture of secondary hypothyroidism is that of the overt hypothyroidism, just described, but with deficiency of both thyroid hormones FT3, FT4 and TSH, without increase of anti-thyroid antibodies [49]. Furthermore, other than symptoms linked to hypothyroidism, the clinical picture could be complicated by mass effect symptoms, such as headache, asthenia, nausea, vomiting, vertigo and more rarely visual disturbances with diplopia, linked to enlargement of pituitary. These symptoms generally appear after about 11 weeks of starting therapy and require imaging studies as MRI of the brain in sellar region for a differential diagnosis with brain metastases. The clinical course of secondary hypothyroidism due to hypophysitis could be further associated to symptoms and signs of other pituitary hormonal deficiencies: more often pituitary-adrenal axis and

pituitary-gonadal axis are involved. However, the recovery of the thyroid axis has been described in 37-50% of cases in selected studies [49].

The hypothyroidism treatment is medical and it aims at the restoration of the euthyroid state, which is achieved by administration of L-T4, regardless of the cause (primary or secondary). Generally, subclinical hypothyroidism does not require any treatment, even if TSH levels >10 mUI/L have been considered critical for significantly increased risk of cardiovascular mortality and morbidity and have been stated as threshold for starting L-T4 therapy [50]. In the primary hypothyroidism L-T4 treatment is modulated on TSH levels and should bring the TSH values between 1 and 2 mUI/L. In patients without heart diseases and other severe co-morbidities, it is possible starting with replacement dose of L-T4, approximately 1.6 mcg/kg body weight daily. Instead, in older and frail patients and in presence of heart diseases, L-T4 should be started at low dose, usually 12 to 25 mcg daily and increased slowly, every 4 weeks.

In table 1 the grading of primary hypothyroidism due to immune-checkpoint blockade and the adapted algorithm for its diagnosis and management are reported [7].

In cases of secondary hypothyroidism, where TSH levels are low, the effectiveness of L-T4 therapy is evaluated clinically and by measurement of serum concentration of FT4 and FT3; the measurement of TSH does not have any meaning. Furthermore, the possibility of other concomitant hormonal deficiency must be always kept in mind, since the introduction of the substitution therapy with L-T4 in patients with secondary adrenal insufficiency may precipitate an acute adrenal crisis.

In conclusion, it is recommended to check thyroid and pituitary function prior and during treatment with immune checkpoint inhibitors and, in case of both primary and secondary hypothyroidism, appropriate L-T4 replacement can be added, without discontinuation immunotherapy. In case of severe forms (G3-G4) of hypothyroidism, the interruption of immunotherapy may be needed for short periods, until L-T4 therapy restores a clinical and hormonal euthyroid state.

## Hyperthyroidism

Hyperthyroidism, unlike thyrotoxicosis due to the release of thyroid hormones by a damaged thyroid, is that condition in which the increase of thyroid hormones is linked to their increased production by hyperstimulated thyroid. Both thyrotoxicosis and hyperthyroidism have been reported among irAEs observed during therapy with immune checkpoint inhibitors [7]. These two conditions, almost indistinguishable from the clinical point of view, have different causes and also different therapies. The most common cause of hyperthyroidism observed during immune checkpoint inhibitors is Graves' disease, an autoimmune disease due to production of TSABs that activate the TSHR [11,51]. High TSABs were reported in 3% of patients treated with anti-PD-1 antibody nivolumab for different advanced solid cancers [4]. The most frequent symptoms due to the increased thyroid hormones (both for thyrotoxicosis and for hyperthyroidism) are

tachycardia, arrhythmia, nervousness, palpitations, sweating, heat intolerance, muscle fatigue, diarrhea and weight loss despite increased appetite [41].

In patients with subclinical hyperthyroidism, these symptoms may be less severe and interesting few organs and systems. Signs and symptoms that must induce a suspicion of hyperthyroidism are weight loss, atrial fibrillation, and myopathy. It is estimated that the subclinical hyperthyroidism, defined as normal levels of FT3 and FT4 with suppressed TSH values, is associated with an increased incidence of atrial fibrillation in the elderly and is responsible for 3-13% of atrial fibrillation newly diagnosed [52].

Graves' disease is often associated with ocular disorders (burning, photophobia, exophthalmos) that give the clinical framework of Graves' ophthalmopathy (GO). A case of thyroid-like orbitopathy was described during ipilimumab therapy in a woman with normal levels of thyroid hormones, euthyroid, but with an ophthalmopathy similar to that seen in Graves' disease, sustained by TSABs [53].

The diagnosis of hyperthyroidism is carried out by measuring TSH that is very low (suppressed) and FT3 and FT4 which are normal (subclinical hyperthyroidism) or variably higher than normal (overt hyperthyroidism). These biochemical results are in common with thyrotoxicosis due to thyroiditis. In order to better define the pathogenesis of a clinical and biochemical picture of thyrotoxicosis that appears during therapy with immune checkpoint inhibitors may be useful to dose anti-thyroid antibodies. A high level of anti-TPO Abs could be associated to both conditions, while the increase of TSABs, that activate the TSHR, is the direct cause of Graves' hyperthyroidism. However, the differential diagnosis is possible through the execution of the thyroid scan, although in NSCLC patients during immune therapy this diagnostic procedure is not a priority. Indeed, in thyroiditis scintigraphy reveals a reduced uptake by the damaged thyroid, while in Graves' disease a high uptake is observed for the hyperfunction of thyrocytes [41].

In all cases of hyperthyroidism, symptomatic drugs, like beta-blockers, can be used for reducing symptoms. In case of Graves' hyperthyroidism the most suitable therapy includes the use of thyrostatic drugs, i.e., thionamides or propylthiouracil that reduce the activity of the thyroid. Generally, the therapy with thionamides can start with doses of attack (i.e. metimazole 20-30 mg/day orally) that can be reduced after 4-6 weeks to maintenance doses (5-15 mg/day), monitoring clinical signs and hormonal dosages. In case of intolerance to thionamides, propylthiouracil at attack dose of 200-300 mg/day orally then reduced to maintenance dose of 50-150 mg/day, can be used.

During thyrostatic therapy, frequent clinical and hormonal controls for the dose adjustments must be programmed [41]. In some NSCLC patients developing subclinical hyperthyroidism with constantly suppressed TSH values and appearance of soft signs and symptoms, thyrostatic therapy (other than beta-blockers) may be useful. In table 2 the grading of hyperthyroidism due to immune-checkpoint blockade and the adapted algorithm for its diagnosis and management are reported [7].

**Table 1:** Adapted management algorithm for primary hypothyroidism with immune checkpoint blockade.

Grade	Clinical	Hormonal Tests	Management	Follow-up
1	<b>Asymptomatic</b> or little symptomatic	FT3, FT4 normal TSH > 10 mUI/L anti-TPO and anti-TG usually high	Levothyroxine therapy	TSH before each cycle
2	<b>Mild symptoms</b> fatigue, constipation, weight gain, loss of appetite, dry skin, eyelid edema, puffy face	Low FT4 and/or FT3 TSH > 10 mUI/L anti-TPO and anti-TG usually high	Levothyroxine therapy	TSH FT4 FT3 before each cycle
3-4	<b>Moderate-severe symptoms:</b> Bradycardia, hypotension pericardial effusion, depression, hypoventilation, stupor, lethargy to mixedema coma	Very Low FT4, FT3 TSH very high anti-TPO and anti-TG high	Hospitalization Levothyroxine therapy Supportive therapy for severe cardio-respiratory symptoms Discontinuation of immunotherapy	Monthly TSH FT3, FT4 If improves to Grade 1 consider restarting immunotherapy with additional steroid therapy

Anti-TG: Antithyroglobulin Antibody; Anti-TPO: Anti-Thyroid Peroxidase Antibodies; FT3: Free Triiodothyronine; FT4: Free Tetraiodothyronine; TSH: Thyroid-Stimulating Hormone.

**Table 2:** Adapted management algorithm for hyperthyroidism with immune checkpoint blockade.

Grade	Clinical	Hormonal Tests	Management	Follow-up
1	<b>Asymptomatic*</b>	FT3, FT4 normal Suppressed TSH (< 0,3 mUI/L) anti-TPO and/ or anti-TG normal or high	Avoid iodized salt. Avoid mouthwashes, toothpastes and any drugs containing iodine	TSH, FT3, FT4 before each cycle
2	<b>Mild symptoms</b> weight loss, increased appetite, anxiety and irritability, muscle weakness, menstrual irregularities, fatigue, tachycardia	Suppressed TSH (< 0,1 mUI/L) High FT4 and/ or FT3 anti-TPO and/or anti-TG variable (usually high) TSABs receptor high, in case of Grave's Disease	Beta-blockers Steroids Anti-thyroid therapy with Thionamides or Propylthiouracil (in cases of Grave's Disease)	TSH, FT3, FT4 before each cycle
3-4	<b>Moderate-severe symptoms:</b> arrhythmia, atrial fibrillation, tremor, sweating, insomnia, diarrhea	Suppressed TSH (< 0,1 mUI/L) FT4, FT3 high anti-TPO and anti-TG high TSABs receptor high in case of Grave's Disease	Hospitalization Beta-blockers Steroids Anti-thyroid therapy with Thionamides or Propylthiouracil Discontinuation of immunotherapy	Monthly TSH, FT3, FT4 If improves to Grade 1 consider restarting immunotherapy with additional steroid therapy

Anti-TG: Antithyroglobulin Antibody; Anti-TPO: Anti-Thyroid Peroxidase Antibodies; FT3: Free Triiodothyronine; FT4: Free Tetraiodothyronine; TSABs: Thyroid-Stimulating Antibodies; TSH: Thyroid-Stimulating Hormone.

\*Pay attention to weigh loss, myopathy and atrial fibrillation, particularly in the elderly

**Table 3:** Hormonal levels in thyreopatias due to immune-checkpoints inhibitors.

	FT3/FT4	TSH	ANTI-TPO and/or ANTI-TG	TSABs
Euthyroid Thyroiditis	Normal	Normal	High	Normal
Subclinical Hypothyroidism	Normal	High	High	Normal
Primary Hypothyroidism	Low	High	High	Normal
Secondary Hypothyroidism	Low	Low	Normal	Normal
Subclinical Hyperthyroidism	Normal	Low	Normal/High	High
Overt Hyperthyroidism*	High	Low	Normal/High	High

\*In case of hyperthyroidism due to thyrotoxicosis: Reduced Uptake at Thyroid Scan

\*In case of hyperthyroidism due to Grave's disease: Increased Uptake at Thyroid Scan

Anti-TG: Antithyroglobulin Antibody; Anti-TPO: Anti-Thyroid Peroxidase Antibodies; FT3: Free Triiodothyronine; FT4: Free Tetraiodothyronine; TSABs: Thyroid-Stimulating Antibodies; TSH: Thyroid-Stimulating Hormone.

**Table 4:** Principles of treatment and management of thyreopatias due to immune-checkpoints inhibitors.

	L-T4 Therapy	Beta-blockers	Thyreostatic	Steroids	Management
<b>Euthyroid Thyroiditis</b>	NO	NO	NO	NO	Basal TSH, FT3, FT4, anti-TPO, anti-TG TSH before each cycle
<b>Subclinical Hypothyroidism</b>	if TSH > 10	NO	NO	NO	Basal TSH, FT3, FT4, anti-TPO, anti-TG TSH, FT3, FT4 before each cycle
<b>Overt Primary Hypothyroidism</b>	YES	NO	NO	NO	Basal TSH, FT3, FT4, anti-TPO, anti-TG TSH, FT3, FT4 before each cycle
<b>Overt Secondary Hypothyroidism</b>	YES	NO	NO	In case of mass effect symptoms and in case of adrenal insufficiency	Basal CLU on 24-hour urine, TSH, FT3, FT4, PRL, LH, FSH, ACTH and cortisol FT3, FT4 and other lacking hormones before each cycle
<b>Subclinical Hyperthyroidism</b>	NO	If mild symptoms	NO	NO	Basal TSH, FT3, FT4, anti-Tg, anti-TPO, TSAbs TSH, FT3, FT4 before each cycle. Avoid iodized salt and mouthwashes, toothpastes and any drugs containing iodine
<b>Overt Hyperthyroidism</b>	NO	In first phase, until thyreostatic effect of therapy has been obtained	YES	In G3-G4 hyperthyroidism and in severe forms of GO	Basal TSH, FT3, FT4, TSAbs anti-Tg, anti-TPO TSH, FT3, FT4 before each cycle Selenium could be useful in GO. Avoid iodized salt and mouthwashes, toothpastes and any drugs containing iodine

ACTH: Adrenocorticotrophic Hormone; Anti-TG: Antithyroglobulin Antibody; Anti-TPO: Anti-Thyroid Peroxidase Antibodies CLU: Levels of Urinary Free Cortisol; FSH: Follicle-Stimulating Hormone; FT3: Free Triiodothyronine; FT4: Free Tetraiodothyronine; GO: Grave's Ophthalmopathy LH: Luteinizing Hormone; L-T4: Levotiroxine; PRL: Prolactin; TSAbs: Thyroid-Stimulating Antibodies; TSH: Thyroid-Stimulating Hormone.

The use of high dose of intravenous glucocorticoids is indicated only in G3-G4 hyperthyroidism and in severe forms of GO with recent onset of diplopia, optic neuropathy, and proptosis [54].

Selenium levels are reported to be low in the sera of patients with newly diagnosed autoimmune thyroid conditions, particularly Graves' disease. Supplementation with selenium, an antioxidant and immunomodulatory mineral salt, is indicated in mild-moderate forms of GO, since it has been demonstrated that it determines a lowering of anti-thyroid antibody levels and slows the clinical progression of ophthalmopathy, with improvement of quality of life [54-56].

In table 3 the panels of hormonal levels useful for differential diagnosis of thyreopatias due to immune-checkpoints inhibitors have been reported.

In table 4 the principles of treatment and management of thyreopatias due to inhibitors of immune-checkpoints are summarized.

**Conclusion**

Immune therapy is a promising new treatment for a long-term cure of metastatic NSCLC. On the other hand, this therapy is characterized by the emergency of atypical toxicities that are likely a direct result of breaking immune tolerance. Unfortunately, prophylactic steroids and other preventive strategies to avoid them have not shown clinical benefit to date. Among endocrine side-effects, the thyroid toxicity is prevalent with the use of PD-1 and PD-L1 inhibitors, while hypophysitis, with secondary hypothyroidism, is more frequent in the case

of therapy with anti-CTLA-4. Although these immune therapies have shown good activity and tolerability in patients enrolled in clinical trials, when these therapies will be extended also to unselected patients, thyroid toxicities with most clinical impact could appear. Nowadays, the time of resolution of this toxicity has not been stated because in many cases the consequent thyroid dysfunction may be permanent and timelessly managed with medical treatment. Furthermore, multiple trials are ongoing in order to examine the efficacy of combination of two different immune checkpoint modulators. The greater effectiveness of these combinations should be linked to a strengthening of autoimmunity between the two different classes of drug. On the other hand, this exacerbated autoimmunity may also cause an increased incidence of side effects with potential immunologic etiology. For this reason, before starting therapy with anti-immune checkpoints, oncologists must check thyroid function and must identify potential risk factors that could favour the emergency of autoimmune thyreopatias, like personal and family history of autoimmune disease. Furthermore, patient-physician communication and early diagnosis of immune-related thyreopathies are also emerging as critical issues to successfully manage them. However, these thyreopathies are generally mild and manageable following specific treatment guidelines and, unlike other irAEs, often permit patients to continue receiving anticancer immune-therapies from which significant clinical benefits may derive.

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